AI Governance

August 2023

Public Act No. 23-16

- An Act concerning Artificial Intelligence, automated decision-making, and personal data privacy

- Effective July 1, 2023

- Intended to provide guardrails for the ethical and equitable use of AI in government

- Applies to all state agencies listed in Section 4d-1 of the general statutes

- Collaborative effort between Executive and Legislative which resulted in a more realistic and achievable bill

- CT's AI framework allows us to "see around the bend" but be mindful of activities playing out at the federal level

- We want to embrace all the good AI has to offer but do it in a responsible and consistent manner

- We expect future iterations of PA 23-16 and state policies to fine-tune CT's AI posture

Critical Milestones

- July 1, 2023 – Law goes into effect.

- December 31, 2023 – Inventory of all systems that employ AI and are in use by any state agency

- February 1, 2024 – Develop AI policies and procedures

- February 1, 2024 – Begin performing assessments of systems that employ AI to ensure compliance with policies and procedures

- February 1, 2024 – No agency shall implement any system that employs AI prior to performing an impact assessment to ensure that such system will not result in any unlawful discrimination

- February 1, 2024 – AI Working Group shall submit a report on its findings and recommendations to the joint standing committee of the General Assembly

- February 1, 2024 – AI Working Group shall terminate once report is submitted

Activities July to December '23

- Early guidance to all agencies regarding generative AI

- Formation of an internal workgroup

- Central repository of helpful documents, links to other state's policies, and research papers

- Engagement with other branches of government

- Process for collecting feedback from agencies regarding proposed/current use of AI

- Education and outreach with key stakeholders

- Draft policy framework mid to late Fall

- Integrate AI vetting with project intake and architecture discussions

- Focus on AI during Digital Summit in September

Policy Elements

- Guiding Principles
  - Acceptable use of AI
  - Procedures and standards
  - Process for handling exceptions
- Ethical Considerations
  - Prevention of harm
  - Fairness, equality and non-discrimination
  - Transparency and auditability
  - Privacy
- Requirements for AI systems
  - Explainable, transparent, auditable
- Assessments, Inventory and Oversight

Current AI team members

Tom Armstrong

Jeff Brown

Adel Ebeid

Scott Gaul

Mark Raymond

Looking to add others in the coming weeks…